Japan Patent Office (JPO) 4-3, Kasumigaseki 3-chome Chiyoda-ku Tokyo 100-8915 JAPAN



日本国特許庁 〒100-8915 東京都千代田区霞が関3-4-3

NOTIFICATION OF PROVISIONAL REFUSAL

This notification is issued by the Japan Patent Office (JPO) in accordance with Rule 17(1) and (2) of the Common Regulations under the Madrid Agreement concerning the International Registration of Marks and the Protocol relating to that Agreement and Section 15-2 and 15-3 of the Japanese Trademark Law.

I. International registration number: 986003 Mark: ANDREA VENTURA FIRENZE (with figurative elements) Date of international registration: 2008/08/27 Holder of the international registration: FIRENZE STILE S.R.L.

- II. This trademark application* shall be totally refused protection. The grounds for refusal are indicated under Item V. A copy of the corresponding provisions of the Japanese Trademark Law is attached to this notification.
- III. This refusal is issued on August/14/2009 by

TANAKA Kyoko (Ms.)
Examiner
Madrid Protocol Division
Facsimile: +81-3-3593-2398
Telephone: +81-3-3501-2392

IV. The trademark of this application can be protected subject to amendments to be made by the holder of the international registration as suggested under Item VI. The amendment must be made through the intermediary of a representative domiciled in Japan within three months from the date of pronouncement, as indicated below. If any, the holder may submit to the JPO a written opinion against this provisional refusal through the intermediary of a representative domiciled in Japan by the same date. Alternatively, the holder may request a limitation of the list of goods and/or services in accordance with Rule 25(1)(a) of the Common Regulations. This request must be presented to the International Bureau of WIPO by Official Form MM6.

The date of pronouncement: 2009/09/03

^{*} A request for territorial extension to Japan under the Protocol relating to the Madrid Agreement is deemed as a trademark application made in Japan in accordance with Section 68-9 of the Japanese Trademark Law.

Continuation sheet

V. The grounds for refusal

Ground 1

The trademark of this application falls under Section 4(1)(xi) of the Trademark Law because this trademark is identical with or similar to the following trademark(s) and is to be used for the goods and/or services identical with or similar to the designated goods and/or services covered by this(these) trademark registration(s).

Cited registered trademark(s)

No. 1

- National registration No.: 5097534 Registration date: 2007/12/07 National application No.: 2007-054636 Application date: 2007/05/31
- Name and address of the holder: Kabushiki Kaisha Life Gear Corporation 3-11-36, Mita, Minato-ku, Tokyo, JAPAN

Mark:

ANDREA VENTURA

Conflicting goods and/or services and their class(es), corresponding to class 18 covered in this application:

International class 25:

被服、ガーター、靴下止め、ズボンつり、バンド、ベルト、履物、仮装用衣服、運動用特殊衣 服,運動用特殊靴

The trademark of this application falls under Section 4(1)(xvi) of the Trademark Law, because the trademark contains the words of "FIRENZE", which is the name of Italian

Therefore, the use of this trademark with respect to the other goods not made in/originated in "Italy" would cause confusion as to their origin.

- VI. The trademark of this application will be protected if the goods and services are amended/limited as follows: (Examples are underlined. The underlined goods/services are the examples of amendment/limitation of the goods/services shown in V. Sometimes there are no underlined goods/services.)
 - 18 Leather (unworked or semi-worked); bags and the like; pouches and the like; vanity cases (not fitted); handbag frames; purse frames; umbrellas and their parts; walking sticks; canes; metal parts of canes and walking sticks; handles of canes and walking-sticks; clothing for domestic pets; all the above goods are of Italian origin.

Extract from the Japanese Trademark Law

Art. 3. Requirements for trademark registration

- Any trademark to be used in connection with goods or services pertaining to the business of an applicant may be recistered, unless the trademark
 - consists solely of a mark indicating, in a common manner, the common name of the goods or services, is customenly used in connection with the goods or services;
- (ii) consists solely of a mark indicating, in a common manner, in the case of goods, the place of origin, place of sale, quality, raw materials, efficacy, intended purpose, quantity, shape (inducing shape of packages), price, the method or time of production or use, or, in the case of services, the location of provision, quality, articles to be used in such provision, efficacy, intended purpose, quantity, modes, price or method or time of provision;
- consists solely of a mark indicating, in a common manner, a common surname or name of a legal entity
- consists solely of a very simple and common mark, o
- (vi) in addition to what is listed in each of the preceding items, a trademark by which consumers are not
 able to recognize the goods or services as those pertaining to a business of a particular person.
- (2) Notwithstanding the preceding paragraph, a madement that falls under any of Items (ii) through (v) of the preceding paragraph may be registered if, as a result of the use of the trademark, consumers are able to recognize the goods or services as those pertaining to a business of a perticular person.

Art. 4. Unregistrable trademarks

- Notwilltstanding the preceding article, no trademark shall be registered if the trademark.

 The identical with, or similante, the noticnal flag the imperial physical because of a decoration, a modal (i) is identical with, or a foreign national fac;
- (ii) is identical with, or similar to, the coats of arms or any other State emblens (except national tags of any country of the Union to the Paris Convention, member of the World Trade Organization or Contracting Party to the Trademark Law Treaty) of a country of the Union to the Paris Convention (refers to the Paris Convention) For the Protection of Industrial Property of March 20, 1883, as revised at Dissels on December 14, 1900, at Washington on June 2, 1911, at the Hague on November 6, 1925, at London on Lune 2, 1934, at Lisbon on October 31, 1958 and at Stockholm on July 14, 1957, the same shall apply hereinafter), a member of the World Trade Organization or a Contracting Party to the Trademark Law Treaty designated by the Minister of Economy, rade and Industry
- (ii) is idertical with, or similar to, a mark indicating the United Nations or any other international organization which has been designated by the Minister of Economy, Trade and Industry, (iv) is identical with, or similar to, the emblems or titles in Afficie 1 of the Law Concerning Restriction on the Use of Emblems and Titles of the Red Cross and Others (Law No.159 of 1947) or the distinctive emblem in Article 158(1) of the Law Concerning Measures to Protect Japanese Citizens During Armed Attacks and Others (Law No.112 of 2004);
- (v) consists of a mark dentica with, or similar to, an official hallmark or sign indicating control or warranty by the national or a local government of Japan, a country of the Union to the Pans Convention, a member of the World Trade Organization or a Contracting Farty to the Trademark Law Treaty which has been designated by the Minister of Economy, Trade and Industry, if such a Trademark is used in connector with goods or services
- identical with, or similar to, the goods or services in connection with which the hallmark or sign is used;

 (ii) is identical with, or similar to, a famous mark indicating the State, a local government, an agency thereof, a non-profit organization undertaking a business for public interest, or a non-profit enterprise undertaking a business for public interest;
 - is likely to contravene public order or morality:
- (viii) contains the perfert name of another person famous pseuconym, professional name, per name or famous abbreviation thereof (except those the registration of which has been approved by the person concerned):
- is comprised of a mark identical with, or similar to, a prize awarded at an exhibition held by the national or a local government (hereinafter referred to as "Government, etc.") or by those who are not the Government, etc. but designated by the Commissioner of the ⊇atent Office, or at an international exhibition held in a foreign country by the Government, etc. of the foreign country by the Government, etc. of the foreign country or these authorized thereby (except those used by the recipient of such a prize as part of his/her own trade nerk):
- is well known among consumers as that indicating goods or services in connection with anothperson's business or a trademark similar thereto, if such a trademark is used in connection with such goods or services or goods or services similar thereto;
- is identical with, or similar to, another person's registered tracemark which has been filed prior to the fling date of an application for registration of the said trademark if such a trademark is used in connection with the designated goods or designated services in connection with which the said registered trademark is registered to goods or services designated in accordance with Article 6(t) (including cases where it is applied
- wasta mulandia pursuant to Artice 68(1)), hereir afer the aame) or goods or services similar thereto.

 (iii) is identical with a registered defensive mark of another person (refers to a mark registered as a detensive mark, the same shall apply here natter), it such a trademark is used in connector with designated
- goods or dasignated services in connection with which the defensive mark is registered,
 (xiii) is a trademark of another person (excuding those which had not been used by the said person for a period of one year or longer from the date the trademark right became extinguished) the right to which has been extinguished for a period of shorter than one year from the date of the extirguishmen; of the said trademark right (or the cate on which a ruling to the effect that the trademark registration is to be rescinded or a trial decision to the effect that the trademark registration is to be invalidated is rendered, the same shall apply hereinafter) or a trademark aimilier thereto, if such a trademark is used in connection with the designated goods or designated
- services in connection with the rade mark right of such other person or goods or services similar thereto,
 (xiv) is identical with, or similar to, the name of a variety registered in accordance with Article 18(1) of the
- variety or goods or services similar thereto;
 (xv) is likely to cause confusion in connection with the goods or services pertaining to a business of
- another peacen (except those listed in items (x) to (xv) inclusive);

 (xvi) is likely to cause confusion as to the quality of the goods or services;

 (xvii) is comprised of a mark indicating a place of origin of wines or spirts of Japan which has been designated by the Commissioner of the Patent Office, or a mark indicating a place of origin of wines or spirts of a member of the World Tade Organization which is prohibited by the said member from being used on wines or spirits not originating from the region of the said member, if such a trademark is used in connection with wines or
- spirits not originating from the region in Japan or of the said member;

 (xvii) consists solely of a three-cimensional shape of goods or their packaging which is indispensable for
- such goods or their packaging to properly function, or
 (xx) is identical with, or similar to, a trademark which is well known among consumers in Japan or abload as that indicating goods or services pertaining to a business of another person, if such tredemark is used for unfair purposes (referring to the purpose of gaining unfair profits, the purpose of causing damage to the other person, or any other unfair purposes, the same shall apply hereinafter) (except those provided for in each of the preceding itemsi:
- Where the State or a ocal government, an agency thereo(, a non-profit organization undertaking a business for public interest, or a person undertaking a non-profit activity for public interest files an application for trademark registration falling under the said item, Item (vi) of the preceding paragraph shall not apply.

 (3) Itams (vii), (3) (xvi) (xvii) and (xvi) of Paragraph (1) shall not apply to a trademark falling under any of the said items which does not fall under the said item at the time of thing of an application for trademark registration.

 (4) Where a trial decision to the effect that a registration of a trademark is to be rescinded pursuant to Article 53-2 persons final and conclusive, and the demandant of the said that files a trademark application for the
- tademark pertaining to the rescinded registration following. The said decision, or a trademark similar Thereto, Item pail) of Paragraph (1) shall not apply.

- Art. 6. Single trademark on each application
 (1) An application for trademark registration shall be filed for each trademark and designate one or more goods or services in connection with which the trademark is to be used.
- (2) The designation provided for nithe preceding paragraph shall be made in accordance with classifications of goods and services specified by Cabinet Order.
- (3) The classifications of goods and services provided for in the preceding paragraph shall not be perceived as prescribing the scope of similarities of goods or services.

Art. 7. Collective trademarks

- (1) A general incorporated association or other association (except those which do not have juridical personality, and companies), or any other association established pursuant to a special Law industring business cooperative (except those which do not have juridical personality), or a foreign juridical person ecuivalent thereto stall be entitled to obtain a collective trademark registration with respect to a trademark to be used by their members
- For the purpose of the application of Article 3(1), in the case of the preceding paragraph, "applicant" in the
- said Arcicle shell read "applicant or its memoers."

 3) Any person who desires to register a collective trademark pursuant to Paragraph (1) shall, at the time of filing of an applicator for trademark registration pursuant to Article 5(1), submit to the Commissioner of the Palant Office a document certifying that the applicant for trademark registration is a legal entity that fals under

Art. 7-2. Regionally based collective trademark

- Any association established by special law, including a business cooperative (except those which are not legal entities and limited to those which are established by a special law prescribing that the association shall not refuse the enrollment of any person who is eligible to become a member without a justifiable reason or that the association shall not impose on any of its prospective members any condition that is heavier than those imposed on its existing members) or a foreign legal entity equivalent thereto (hereinafter referred to as an "Association etc.", shall be entitled to obtain a regionally based collective trademark with respect of any of the following provided that the trademark is used by its members and, as a result of the use of the said trademark, the said trademark is well known among consumers as indicating the goods or services pertaining to the business of the applicant or its members, notwithstanding the provision of Article 3 (except a case falling under item (i) or (ii) of Arlice 3(1)).
- a trademark consisting solely of characters indicating, in a common manner, the name of the region and the common name of the goods or services pertaining to the business of the applicant or its members;
- iii) a trademark consisting solely of characters indicating, in a common manner, the name of the region and the name customarily used as a name indicating the goods or services pertaining to the business of the applicant or its members; or
- iii) a rade mark consisting solely of characters indicating, in a common manner, the name of the region and the common name of the goods or services pertaining to the business of the applicant or its members or the
- name customently used so a name indicating thereof, and characters on the applicant of its members of incomments of the customent of the services.

 (2) The term "name of the region" as used in the preceding paragraph shall mean the place of origin of the goods or the tradition of provision of services.

 (3) The term "name of the region" as used in the preceding paragraph shall mean the place of origin of the goods or like location of provision of services for which the trademark pertaining to the said application has been used by the applicant or its members even prior to the filling of such application, or the name or abbreviated hance. of the region which is considered to have a close relationship with the said goods or services to the equivalent
- For the purpose of the application of Article 3(1) (limited to those relating to item(i) and (iii) in the case of
- Paragraph (1), "applicant" in the said Paragraph shall read "applicant or its members"

 (4) Any person who desires to register a regionally based collective trademark pursuant to Paragraph (1) shall, at the time of filing of an application for tradament egistration pursuant to Article 5(f), submit to the Commssioner of the Petert Office a document certifying that the applicant for trademark registration is an Association, etc. and documents necessary to prove that the trademark for which the registration is sought contains the name of a region as act forth in Paragraph (2)

Art. B. Prior application

- Where two or more applications for trademark registration relating to dentical or smillar trademarks which the decirity of the connection with identical or similar goods or services have been filled on different dates, only the applicant who filed the application for trademark registration on the earlier date shall be entitled to register the trademark in question.
- (2) Where two or more applications for rademark registration relating to dentical or smilar trademarks which are to be used in connection with identical or similar goods or services have been filled on the same date only one applicant shall be entitled to register the trademark in question, to be determined by consultations between the explicants who fled such applications.

 (3) Where an application for trademark registration is abandoned, withdrawn or dismissed, or an examiner's
- decision or a trial decision on an application for trademark registration becomes final end conclusive, such application shall, for the purposes of the application of the preceding two paragraphs, be deemed never to have beer filed.
- n the case of Paragraph (2), the Commissioner of the Patent Office shall require the applicants for trademark registration to arrange consultations between the applicants as set forth in the said Paragraph and to
- report the result thereof, designating a reasonable time limit for such outpose.

 5) Where no agreement is reached in the consultations neld pursuant to Paragraph (2) or no report is submitted within the designated time limit set forth in the preceding Paragraph, only one applicant, selected by a lottery in a fair and just manner conducted by the Commissioner of the Patent Office, shall be entitled to register the trademark in question.

Art. 15. Examiner's decision of refusal

- Where an application for trademark registration falls under any of the following items, the examiner shall render a decision to the effect that the application is to be refused:

 (i) the trademark pertaining to an application for trademark registration is not registerable pursuant to the provisions of Articles 3, 4(1), 7-21(1), 8(2), 8(5), 51(2) including the case of its mutatis invarids application under Article 52-2(2)), 53(2) of this Law of Article 25 of the Patent Law as applied mutatis mutands under 77(3) of this
- (ii) the application for trademark registration does not comply with the requirements provided in Article 6(1; Art. 15-3.

- (1) Where a tracemark pertaining to an application for trademark registration is identical with, or similar to another person's trademark pertaining to an application for trademark registration filed prior to the filing date of the said application, if the said trademark is intended to be used for goods or services identical with, or similar to the designated goods or designated services perfaining to such other persons trademark, the examiner may notify the applicant for trademark registration of the fact that the said application for trademark registration will fall under Article 15(1) when the said other person's trademark is registered, and provide the applicant with an opportunity to submit a written opinion, designating a reasonable time limit for such purpose.
- (2) Where the notification selfcrift in the preceding paragraph has already been served and the said other person's rademark is registered, the examiner shall not be required to serve the notification set forth in the preceding article.

The Japanese Patent Law I.

(Effect of treaties)

26—Where there are specific provisions relating to patents in a meahy, such provisions shall prevail. The Japanese Trademark Law

(Application mulatis mulandis of Patent Law)
77.—(4) Section 26 (effect of treaties) of the Patent _aw shall apply mutatis mutandis to trademark and defensive mark registrations.

Subsection (*) to (3), and (5) to (7)) are omitted.

With the revision of the Trademark Law, Article 7-2 (regionally based collective trademark) was introduced, and a

reference to 7-2 (1) was added in Article 15.
This revision shall be applied to an international application for which the date of international registration or date. of subsequent designation is on or after April 1, 2006

These are unofficial translations. Only the original Japanese texts of the Laws have legal effect.