

Japan Patent Office (JP0)
4-3, Kasumigaseki 3-chome
Chiyoda-ku
Tokyo 100-8915
JAPAN



日本国特許庁
〒100-8915
東京都千代田区霞が関3-4-3

NOTIFICATION OF PROVISIONAL REFUSAL

This notification is issued by the Japan Patent Office (JP0) in accordance with Rule 17(1) and (2) of the Common Regulations under the Madrid Agreement concerning the International Registration of Marks and the Protocol relating to that Agreement and Section 15-2 and 15-3 of the Japanese Trademark Law.

I. International registration number: 1204795
Mark: Marlboro SELECTED PREMIUM TOBACCOS
(with figurative elements)
Date of international registration: 2014/02/03
Holder of the international registration:
Philip Morris Brands Sàrl

II. This trademark application* shall be totally refused protection. The grounds for refusal are indicated under Item V. A copy of the corresponding provisions of the Japanese Trademark Law is attached to this notification.

III. This refusal is issued on November/10/2014 by

KOBAYASHI Hiroko (Ms.)
Examiner
Madrid Protocol Division
Facsimile: +81-3-3593-2398
Telephone: +81-3-3501-2392

IV. The trademark of this application can be protected subject to amendments to be made by the holder of the international registration as suggested under Item VI. The amendment must be made through the intermediary of a representative domiciled in Japan within three months from the date of pronouncement, as indicated below. If any, the holder may submit to the JP0 a written opinion against this provisional refusal through the intermediary of a representative domiciled in Japan by the same date. Alternatively, the holder may request a limitation of the list of goods and/or services in accordance with Rule 25(1)(a) of the Common Regulations. This request must be presented to the International Bureau of WIPO by Official Form MM6.

* All communications via telephone and facsimile except for general inquiries will be recorded and stored in the file wrapper disclosed by request in order to secure transparency.

* A request for territorial extension to Japan under the Protocol relating to the Madrid Agreement is deemed as a trademark application made in Japan in accordance with Section 68-9 of the Japanese Trademark Law.

The date of pronouncement: 2014/11/20

Continuation sheet

V. The grounds for refusal

Ground 1

The trademark of this application falls under Section 4(1)(xi) of the Trademark Law because this trademark is identical with or similar to the registered trademarks below and is to be used for the goods and/or services identical with or similar to the designated goods and/or services covered by the said trademark registrations .

With regard to the cited registered trademark No.2, this reason shall apply to this application, only in the case where the duration of a trademark right concerning the cited registered trademark below is renewed.

However, where the applicant files a document to the effect that the trademark right holder of the cited registered trademark No.2 will not file a request for registration for renewal of the duration of the trademark right, and where it has become obvious that the renewal of the duration will not take place, the above-mentioned reason for refusal shall not apply to this application.

Cited registered trademark(s)

No. 1

- National registration No.: 1879528-1 - Registration date: 1986/07/30
- National application No.: S54-032757 - Application date: 1979/05/04
- Name and address of the holder: Philip Morris Global Brands Inc
9711 Farrar Court Richmond Virginia 23236, U.S.A.

Mark:



Conflicting goods and/or services and their class(es), corresponding to class 34 covered in this application:

International class 01:

試験紙

International class 05:

防虫紙

International class 16:

紙類, 文房具類

International class 17:

コンデンサーペーパー, 石綿紙, バルカンファイバー

International class 27:

壁紙

No. 2

- National registration No.: 2683392 - Registration date: 1994/06/29
- National application No.: H03-114023 - Application date: 1991/11/01
- Name and address of the holder: PMPI LLC
Hunton & Williams LLP, 951 East Byrd Street Richmond,
VA, 23219 U.S.A.

Mark:

Continuation sheet



Conflicting goods and/or services and their class(es), corresponding to class 34 covered in this application:

International class 16:

紙類, 文房具類

No. 3

- National registration No.: 3295585 - Registration date: 1997/04/25
- National application No.: H05-103294 - Application date: 1993/10/12
- Name and address of the holder: PMPI LLC
Hunton & Williams LLP, 951 East Byrd Street, 23219
Richmond, VA, U.S.A.

Mark:



Conflicting goods and/or services and their class(es), corresponding to class 34 covered in this application:

International class 16:

紙類, 紙製包装用容器, ラベル (布製のものを除く), その他の文房具類 (「昆虫採集用具」を除く), カレンダー, その他の印刷物, 書画, 写真, かるた, 歌がるた, トランプ, 花札

No. 4

- National registration No.: 4367751 - Registration date: 2000/03/10
- National application No.: H11-070605 - Application date: 1999/08/05
- Name and address of the holder: PMPI LLC
9711 Farrar Court, Richmond, VA, 23236 U.S.A.

Mark:



Conflicting goods and/or services and their class(es), corresponding to class 34 covered in this application:

Continuation sheet

International class 16:

紙類, 紙製包装用容器, 家庭用食品包装フィルム, 紙製ごみ収集用袋, プラスチック製ごみ収集用袋, 衛生手ふき, 型紙, 紙製テーブルクロス, 紙製タオル, 紙製手ふき, 紙製のぼり, 紙製旗, 紙製ハンカチ, 紙製ブラインド, 紙製幼児用おしめ, 裁縫用チャコ, 荷札, 印刷物, 書画, 写真, 写真立て, 遊戯用カード, 文房具類, 事務用又は家庭用ののり及び接着剤, 青写真複写機, あて名印刷機, 印刷用インテル, 印字用インクリボン, 活字, こんにゃく版複写機, 自動印紙はり付け機, 事務用電動式ホッチキス, 事務用封かん機, 消印機, 製図用具, 装飾塗工用ブラシ, タイプライター, チェックライター, 謄写版, 凸版複写機, 文書裁断機, 封ろう, マーキング用孔開型板, 郵便料金計器, 輪転複写機, 観賞魚用水槽及びその付属品

No. 5

- National registration No.: 4381823 - Registration date: 2000/05/12
- National application No.: H11-086104 - Application date: 1999/09/22
(priority date under the Paris Convention: 1999/05/14)
- Name and address of the holder: PMPI LLC
9711 Farrar Court, Richmond, VA, 23236 U.S.A.

Mark:



Conflicting goods and/or services and their class(es), corresponding to class 34 covered in this application:

International class 16:

紙類, 紙製包装用容器, 家庭用食品包装フィルム, 紙製ごみ収集袋, プラスチック製ごみ収集用袋, 衛生手ふき, 型紙, 紙製テーブルクロス, 紙製タオル, 紙製手ふき, 紙製のぼり, 紙製旗, 紙製ハンカチ, 紙製ブラインド, 紙製幼児用おしめ, 裁縫用チャコ, 荷札, 印刷物, 書画, 写真, 写真立て, 遊戯用カード, 文房具類, 事務用又は家庭用ののり及び接着剤, 青写真複写機, あて名印刷機, 印刷用インテル, 印刷用インクリボン, 活字, こんにゃく版複写機, 自動印紙はり付け機, 事務用電動式ホッチキス, 事務用封かん機, 消印機, 製図用具, 装飾塗工用ブラシ, タイプライター, チェックライター, 謄写版, 凸版複写機, 文書裁断機, 封ろう, マーキング用孔開型板, 郵便料金計器, 輪転複写機, 観賞魚用水槽及びその付属品

No. 6

- National registration No.: 4393080 - Registration date: 2000/06/16
- National application No.: H11-070601 - Application date: 1999/08/05
- Name and address of the holder: PMPI LLC
9711 Farrar Court, Richmond, VA, 23236 U.S.A.

Mark:



Conflicting goods and/or services and their class(es), corresponding to class 34

Continuation sheet

covered in this application:

International class 16:

紙類, 紙製包装用容器, 家庭用食品包装フィルム, 紙製ごみ収集用袋, プラスチック製ごみ収集用袋, 衛生手ふき, 型紙, 紙製タオル, 紙製手ふき, 紙製のぼり, 紙製旗, 紙製ハンカチ, 裁縫用チャコ, 荷札, 印刷物, 書画, 写真, 写真立て, 遊戯用カード, 文房具類, 事務用又は家庭用ののり及び接着剤, 青写真複写機, あて名印刷機, 印刷用インテル, 印字用インクリボン, 活字, こんにゃく版複写機, 自動印紙はり付け機, 事務用電動式ホッチキス, 事務用封かん機, 消印機, 製図用具, 装飾塗工用ブラシ, タイプライター, チェックライター, 謄写版, 凸版複写機, 文書裁断機, 封ろう, マーキング用孔開型板, 郵便料金計器, 輪転複写機, 観賞魚用水槽及びその付属品

VI. The trademark of this application will be protected if the goods and services are amended/limited as follows: (Examples are underlined. The underlined goods/services are the examples of amendment/limitation of the goods/services shown in V. Sometimes there are no underlined goods/services.)

34 Tobacco, including cigars, cigarettes, cigarillos, tobacco for roll-your-own cigarettes, pipe tobacco, chewing tobacco, snuff tobacco, cigarettes with or without filter, made of a mix of tobacco and cut clove, and wrapped in cigarette paper, oral, smokeless tobacco product made of heat-treated, moist to semi-moist ground tobacco which is used either loose or packed in portions, tobacco substitutes (not for medical purposes); cigarette filters, tobacco tins, cigarette cases and ashtrays, pipes, pocket apparatus for rolling cigarettes, lighters; matches.

Extract from the Japanese Trademark Law

Article 3. Requirements for trademark registration

- (1) Any trademark to be used in connection with goods or services pertaining to the business of an applicant may be registered, unless the trademark:
- (i) consists solely of a mark indicating, in a common manner, the common name of the goods or services;
 - (ii) is customarily used in connection with the goods or services;
 - (iii) consists solely of a mark indicating, in a common manner, in the case of goods, the place of origin, place of sale, quality, raw materials, efficacy, intended purpose, quantity, shape (including shape of packages), price, the method or time of production or use, or, in the case of services, the location of provision, quality, articles to be used in such provision, efficacy, intended purpose, quantity, modes, price or method or time of provision;
 - (iv) consists solely of a mark indicating, in a common manner, a common surname or name of a juridical person;
 - (v) consists solely of a very simple and common mark; or
 - (vi) is in addition to those listed in each of the preceding items, a trademark by which consumers are not able to recognize the goods or services as those pertaining to a business of a particular person.
- (2) Notwithstanding the preceding paragraph, a trademark that falls under any of items (iii) to (v) of the preceding paragraph may be registered if, as a result of the use of the trademark, consumers are able to recognize the goods or services as those pertaining to a business of a particular person.

Article 4. Unregistrable trademarks

- (1) Notwithstanding the preceding Article, no trademark shall be registered if the trademark:
- (i) is identical with, or similar to, the national flag, the imperial chrysanthemum crest, a decoration, a medal or a foreign national flag;
 - (ii) is identical with, or similar to, the coats of arms or any other State emblems (except national flags of any country of the Union to the Paris Convention, member of the World Trade Organization or Contracting Party to the Trademark Law Treaty) of a country of the Union to the Paris Convention (refers to the Paris Convention for the Protection of Industrial Property of March 20, 1883, as revised at Brussels on December 14, 1900, at Washington on June 2, 1911, at the Hague on November 6, 1925, at London on June 2, 1934, at Lisbon on October 31, 1958 and at Stockholm on July 14, 1967; the same shall apply hereinafter), a member of the World Trade Organization or a Contracting Party to the Trademark Law Treaty designated by the Minister of Economy, Trade and Industry;
 - (iii) is identical with, or similar to, a mark indicating the United Nations or any other international organization which has been designated by the Minister of Economy, Trade and Industry;
 - (iv) is identical with, or similar to, the emblems or titles in Article 1 of the Act Concerning Restriction on the Use of Emblems and Titles of the Red Cross and Others (Act No.159 of 1947) or the distinctive emblem in Article 158(1) of the Act Concerning Measures to Protect Japanese Citizens During Armed Attacks and Others (Act No.112 of 2004);
 - (v) is comprised of a mark identical with, or similar to, an official hallmark or sign indicating control or warranty by the national or a local government of Japan, a country of the Union to the Paris Convention, a member of the World Trade Organization or a Contracting Party to the Trademark Law Treaty which has been designated by the Minister of Economy, Trade and Industry, if such a trademark is used in connection with goods or services identical with, or similar to, the goods or services in connection with which the hallmark or sign is used;
 - (vi) is identical with, or similar to, a famous mark indicating the State, a local government, an agency thereof, a non-profit organization undertaking a business for public interest, or a non-profit enterprise undertaking a business for public interest;
 - (vii) is likely to cause damage to public policy;
 - (viii) contains the portrait of another person, or the name, famous pseudonym, professional name or pen name of another person, or famous abbreviation thereof (except those the registration of which has been approved by the person concerned);
 - (ix) is comprised of a mark identical with, or similar to, a prize awarded at an exhibition held by the national or a local government (hereinafter referred to as the "Government, etc.") or by those who are not the Government, etc. that conforms to the standards specified by the Commissioner of the Patent Office, or at an international exhibition held in a foreign country by the Government, etc. of the foreign country or those authorized thereby (except those used by the recipient of such a prize as part of his/her own trademark);

- (x) is identical with, or similar to, another person's trademark which is well known among consumers as that indicating goods or services in connection with the person's business, if such a trademark is used in connection with such goods or services or goods or services similar thereto;
 - (xi) is identical with, or similar to, another person's registered trademark which has been filed prior to the filing date of an application for registration of the said trademark, if such a trademark is used in connection with the designated goods or designated services relating to the said registered trademark (referring to goods or services designated in accordance with Article 6(1) (including cases where it is applied *mutatis mutandis* pursuant to Article 68(1)); the same shall apply hereinafter), or goods or services similar thereto;
 - (xii) is identical with a registered defensive mark of another person (referring to a mark registered as a defensive mark; the same shall apply hereinafter), if such a trademark is used in connection with designated goods or designated services relating to the defensive mark;
 - (xiii) deleted
 - (xiv) is identical with, or similar to, the name of a variety registered in accordance with Article 18(1) of the Plant Variety Protection and Seed Act (Act No. 83 of 1998), if such a trademark is used in connection with seeds and seedlings of the variety or goods or services similar thereto;
 - (xv) is likely to cause confusion in connection with the goods or services pertaining to a business of another person (except those listed in items (x) to (xiv) inclusive);
 - (xvi) is likely to mislead as to the quality of the goods or services;
 - (xvii) is comprised of a mark indicating a place of origin of wines or spirits of Japan which has been designated by the Commissioner of the Patent Office, or a mark indicating a place of origin of wines or spirits of a member of the World Trade Organization which is prohibited by the said member from being used on wines or spirits not originating from the region of the said member, if such a trademark is used in connection with wines or spirits not originating from the region in Japan or of the said member;
 - (xviii) consists solely of a three-dimensional shape of goods or their packaging which is indispensable for such goods or their packaging to properly function; or
 - (xix) is identical with, or similar to, a trademark which is well known among consumers in Japan or abroad as that indicating goods or services pertaining to a business of another person, if such trademark is used for unfair purposes (referring to the purpose of gaining unfair profits, the purpose of causing damage to the other person, or any other unfair purposes, the same shall apply hereinafter) (except those provided for in each of the preceding items);
- (2) Where the State or a local government, an agency thereof, a non-profit organization undertaking a business for public interest, or a person undertaking a non-profit activity for public interest files an application for trademark registration falling under item (vi) of the preceding paragraph, the provision of the said item shall not apply.
- (3) Items (viii), (x), (xv), (xvii) and (xix) of paragraph (1) shall not apply to a trademark falling under any of the said items which does not fall under the said item at the time of filing of an application for trademark registration.

Article 5. Single trademark on each application

- (1) An application for trademark registration shall be filed for each trademark and designate one or more goods or services in connection with which the trademark is to be used.
- (2) The designation provided for in the preceding paragraph shall be made in accordance with the class of goods and services provided by Cabinet Order.
- (3) The class of goods and services provided for in the preceding paragraph shall not be perceived as prescribing the scope of similarities of goods or services.

Article 6. Collective trademarks

- (1) A general incorporated association or other association (except those which do not have juridical personality, and companies), or any other association established pursuant to a special Act including business cooperative (except those which do not have juridical personality), or a foreign juridical person equivalent thereto shall be entitled to obtain a collective trademark registration with respect to a trademark to be used by their members.
- (2) For the purpose of the application of Article 3(1), in the case of the preceding paragraph, "applicant" in the said paragraph shall read "applicant or its members."

(3) Any person who desires to register a collective trademark pursuant to paragraph (1) shall, at the time of filing of an application for trademark registration pursuant to Article 5(1), submit to the Commissioner of the Patent Office a document certifying that the applicant for trademark registration is a juridical person that falls under paragraph (1).

Article 7-2. Regional collective trademarks

(1) Any association established by a special Act, including a business cooperative (those which do not have juridical personality are excluded, and limited to those which are established by a special Act providing, without a just cause, that the association shall not refuse the enrollment of any person who is eligible to become a member or that the association shall not impose on any of its prospective members any condition that is heavier than those imposed on its existing members) or a foreign juridical person equivalent thereto (hereinafter referred to as an "Association, etc.") shall be entitled to obtain a regional collective trademark registration with respect of any of the following, provided that the trademark is used by its members and, as a result of the use of the said trademark, the said trademark is well known among consumers as indicating the goods or services pertaining to the business of the applicant or its members, notwithstanding the provision of Article 3 (except a case falling under item (i) or (ii) of Article 3(1)):

- (i) a trademark consisting solely of characters indicating, in a common manner, the name of the region and the common name of the goods or services pertaining to the business of the applicant or its members;
 - (ii) a trademark consisting solely of characters indicating, in a common manner, the name of the region and the name customarily used as a name indicating the goods or services pertaining to the business of the applicant or its members; or
 - (iii) a trademark consisting solely of characters indicating, in a common manner, the name of the region and the common name of the goods or services pertaining to the business of the applicant or its members or the name customarily used as a name indicating thereof, and characters customarily added in indicating, in a common manner, the place of origin of the goods or the location of provision of the services.
- (2) The term "name of the region" as used in the preceding paragraph means, even prior to the filing of the said application, the name of the place of origin of the goods, the location of provision of services, or the name of the region which is considered to have a close relationship with the said goods or services to the equivalent extent, for which the trademark pertaining to the said application has been used by the applicant or its members, or abbreviation thereof.
- (3) For the purpose of the application of Article 3(1) (limited to the part pertaining to items (i) and (ii) in the case of paragraph (1), "applicant" in the said paragraph shall read "applicant or its members."
- (4) Any person who desires to register a regional collective trademark pursuant to paragraph (1) shall, at the time of filing of an application for trademark registration pursuant to Article 5(1), submit to the Commissioner of the Patent Office a document certifying that the applicant for trademark registration is an Association, etc. and documents necessary to prove that the trademark for which the registration is sought contains the name of a region as provided in paragraph (2).

Article 8. Prior application

- (1) Where two or more applications for trademark registration relating to identical or similar trademarks which are to be used in connection with identical or similar goods or services have been filed on different dates, only the applicant who filed the application for trademark registration on the earlier date shall be entitled to register the trademark in question.
- (2) Where two or more applications for trademark registration relating to identical or similar trademarks which are to be used in connection with identical or similar goods or services have been filed on the same date, only one applicant who is to be determined by consultations among the applicants who filed such applications shall be entitled to register the trademark in question.
- (3) Where an application for trademark registration is abandoned, withdrawn or dismissed, or an examiner's decision or a trial decision on an application for trademark registration becomes final and binding, such application shall, for the purposes of the application of the preceding two paragraphs, be deemed never to have been filed.
- (4) In the case of paragraph (2), the Commissioner of the Patent Office shall require the applicants for trademark registration to arrange consultations among the applicants as set forth in the said paragraph and to report the result thereof, designating a reasonable time limit for such purpose.

(5) Where no agreement is reached in the consultations held pursuant to paragraph (2) or no report is submitted within the designated time limit set forth in the preceding paragraph, only one applicant, selected by a lottery in a fair and just manner conducted by the Commissioner of the Patent Office, shall be entitled to register the trademark in question.

Article 15. Examiner's decision of refusal

Where an application for trademark registration falls under any of the following items, the examiner shall render a decision to the effect that the application is to be refused:

- (i) the trademark pertaining to an application for trademark registration is not registrable pursuant to the provisions of Articles 3, 4(1), 7-2(1), 8(2), 8(5), 51(2) (including the case of its mutatis mutandis application under Article 52-2(2)), 53(2) of this Act or Article 25 of the Patent Act as applied mutatis mutandis under 77(3) of this Act;
- (ii) the trademark pertaining to an application for trademark registration is not registrable pursuant to the provisions of a relevant treaty; or
- (iii) the application for trademark registration does not comply with the requirements provided in Article 6(1) or 6(2).

Article 15-3

- (1) Where a trademark pertaining to an application for trademark registration is identical with, or similar to, another person's trademark pertaining to an application for trademark registration filed prior to the filing date of the said application, if the said trademark is used for goods or services identical with, or similar to, the designated goods or designated services pertaining to such other person's trademark, the examiner may notify the applicant for trademark registration of the fact that the said application for trademark registration will fall under Article 15(i) when the said other person's trademark is registered, and provide the applicant with an opportunity to submit a written opinion, designating a reasonable time limit for such purpose.
- (2) Where the notification set forth in the preceding paragraph has already been served and the said other person's trademark is registered, the examiner shall not be required to serve the notification set forth in the preceding Article.

Article 44 Trial against examiner's decision of refusal

- (1) A person who has received an examiner's decision to the effect that an application is to be refused and is dissatisfied may file a request for a trial against the examiner's decision of refusal within three months from the date the transcript of the examiner's decision has been served.

Article 77 Mutatis mutandis application of Patent Act

- (4) Article 26 (Effect of treaties) of the Patent Act shall apply mutatis mutandis to the trademark registration and defensive mark registration.

Japanese Patent Law:

Article 26 Effect of treaties

Where specific provisions relating to a patent are provided by treaty, such provisions shall prevail.

Notice

These are unofficial translations. Only the original Japanese texts of the Laws have legal effect.

(Creation Date: June 17, 2013)